

11-24-00

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UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No.	206314
(Only for new nonprovisional applications under 37 CFR 1.53(b))		First Inventor	GASTON
		Title	Method and Apparatus for Securely Associating an Optically Readable Memory with a User Machine
		Express Mail Label No.	EL643541530US
<b>APPLICATION ELEMENTS</b> 1. <input checked="" type="checkbox"/> Utility Patent Application Transmittal Form 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification (including claims and abstract) [Total Pages 25] 4. <input checked="" type="checkbox"/> Drawings [Total Sheets 6] 5. <input checked="" type="checkbox"/> Combined Declaration and Power of Attorney [Total Pages 3] a. <input checked="" type="checkbox"/> Newly executed b. <input type="checkbox"/> Copy from prior application [Note Box 6 below] i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application 6. <input type="checkbox"/> Incorporation by Reference: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered as part of the disclosure of the accompanying application and is hereby incorporated by reference. 7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 8. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 9. Nucleotide and/or Amino Acid Sequence Submission a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper Copy c. <input type="checkbox"/> Statement verifying identity of above copies		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 <b>ACCOMPANYING APPLICATION PARTS</b> 10. <input type="checkbox"/> Applicant requests early publication. (include publication fee under 37 CFR 1.18(d)) 11. <input type="checkbox"/> Assignment Papers (cover sheet and document(s)) 12. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an Assignee) 13. <input type="checkbox"/> Power of Attorney 14. <input type="checkbox"/> English Translation Document (if applicable) 15. <input type="checkbox"/> Information Disclosure Statement (IDS) <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of Listed Documents 16. <input type="checkbox"/> Preliminary Amendment 17. <input checked="" type="checkbox"/> Return Receipt Postcard (Should be specifically itemized) 18. <input type="checkbox"/> Certified Copy of Priority Document(s) 19. <input type="checkbox"/> Request & Certification Under 35 USC 122(b)(2)(B)(i) (Form PTO/SB/35 or its equivalent attached) 20. <input checked="" type="checkbox"/> Other: Application Cover Sheet Verified Statement (Declaration) Claiming Small Entity Status	
21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information in (a) and (b) below: (a) <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part of prior application no. 09/190,511. Prior application information: Examiner S. Kabakoff; Group Art Unit: 2132 (b) Preliminary Amendment: Benefit of earlier filing date - 35 USC 120. The Commissioner is requested to amend the specification by inserting the following sentence before the first line: "This is a <input type="checkbox"/> continuation <input type="checkbox"/> divisional <input type="checkbox"/> continuation-in-part (CIP) of <input type="checkbox"/> Application No. , filed on , which is incorporated by reference." <input type="checkbox"/> International Application No. , filed on , which designates the U.S., and which is incorporated by reference."			


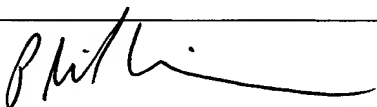
## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 206314

APPLICATION FEES				
BASIC FEE				\$710.00
CLAIMS	NUMBER FILED		NUMBER EXTRA	RATE
Total Claims	34	-20=		x \$18.00 \$252.00
Independent Claims	2	- 3=		x \$80.00 \$ 0
<input type="checkbox"/> Multiple Dependent Claim if applicable				+ \$270.00 \$ 0
Total of above calculations =				\$962.00
Reduction by 50% for filing by small entity =				\$(481.00)
<input type="checkbox"/> Assignment fee if applicable				+ \$40.00 \$ 0
<input type="checkbox"/> Early publication fee if applicable				+ \$300.00 \$ 0
TOTAL =				\$481.00

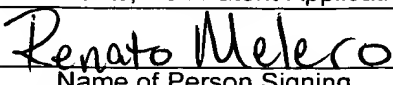
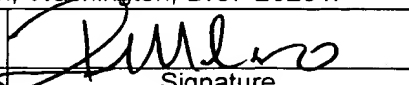
22. ☐ Please charge my Deposit Account No. 12-1216 in the amount of \$
23. ☒ A check in the amount of \$481.00 is enclosed.
24. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 12-1216:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
25. ☒ The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 12-1216 for any fee that may be due in connection with such a request for an extension of time.

## 26. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number: 23460  <b>23460</b> PATENT TRADEMARK OFFICE		<input type="checkbox"/> Phillip M. Pippenger, Reg. No. 46055 Leydig, Voit & Mayer, Ltd. Two Prudential Plaza, Suite 4900 180 North Stetson Chicago, Illinois 60601-6780 (312) 616-5600 (telephone) (312) 616-5700 (facsimile)
Name	Phillip M. Pippenger, Registration No. 46055	
Signature		
Date	November 22, 2000	

## Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this Utility Patent Application Transmittal and all accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner of Patents, Box Patent Application, Washington, D.C. 20231.

		November 22, 2000
Name of Person Signing	Signature	Date

Utility (Rev. 11/13/2000)

**PATENT APPLICATION**

Invention Title:

METHOD AND APPARATUS FOR SECURELY ASSOCIATING AN OPTICALLY  
READABLE MEMORY WITH A USER MACHINE

Inventors:

Kerry R. Gaston

U.S.A.

Montgomery

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INVENTOR'S NAME

CITIZENSHIP

CITY OF RESIDENCE

STATE or FOREIGN COUNTRY

Be it known that the inventors listed above have invented a certain new and useful  
invention with the title shown above of which the following is a specification.